

DEFICIENCY CODE TABLE

CODE	CIRCUMSTANCES	STATUS OF SAMPLE	SPECIFIC DEFICIENCY	PROBLEM CATEGORIZATION	NECESSARY ACTION(S) FOR SITE
<u>D-1</u>	May occur during electronic transmissions, network operational failures or if the originating site fails to include the record within the appropriate timeframes.	Hold	No Record Received	Site transmitted data thru HMSL & did not get Shipping Confirmation in system	Contact NCHP for verification
			Specimen received without an order.	Specimens shipped without processing thru HMSL	Site needs to process batch through HMSLoader
			Hold converted to Discard	Sample was discarded	N/A?
<u>D-2</u>	May occur when the submitting activity fails to include the specimen in the shipment, the data was submitted in error, or a specimen was never collected.	Hold	No Specimen Received	Need FEDEX Tracking # to verify shipment	Access www.fedex.com using Tracking # to verify shipment
			Converted D2-Hold to D2 Discard	Failure to take action within 5 days on a D-2 Hold will result in a D-2 Discard	Specimen will need to be redrawn
<u>D-3</u>	Government submitted the same specimen barcode number for two different specimens.	Hold	Duplicate Barcode(s)	Requires notification and investigation within specified time frames.	Site needs to redraw sample.

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<u>D-4</u>	Issue received that renders the Contractor unable to complete processing of the specimen as defined on a case-by-case basis. For example: invalid submission, date drawn older than 10-ten days, carrier delay in delivery, incorrect Health Level-7 message (format) or originating location.	Reject	Specimen received but data is discarded	Specimen received after the 5 days that the data was held	Site needs to redraw
			Incorrect tube	Site did not use VML provided tube	Site needs to redraw
			Test already ordered for barcode. Order not accepted	Additional tests were ordered for barcode after the 24 hr window for the order	Site will need to redraw for the subsequent tests
			Specimen was rejected prior to this order	An order was received for a D-1 discarded specimen	Site needs to redraw
			Sample more than 10 days old from draw date	VML received specimens that were not shipped immediately after the draw date	Site needs to redraw
D-5	May occur when a discrepancy exists between the information contained on the specimen serum separator tube and/or the record information received.	Reject	Data Mismatch	Data Mismatch	Site needs to redraw

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D-6	Exists when the specimen is submitted without identification and/or accompanying labels (name, barcode) on the serum separator tube. Also applies to internal Contractor documents omitting appropriate data.	Reject	No Specimen Barcode	No Specimen Barcode	Site needs to redraw
D-7	Pertains to the specimen condition received by the Contractor when the color exceeds the manufacturer's specifications for acceptable testing without significant interference.	Reject	Grossly Hemolyzed or Lipemic	Grossly Hemolyzed or Lipemic	Site needs to redraw
D-8	Insufficient specimen quantity received that renders the Contractor unable to complete processing of the specimen.	Reject	Quantity Not Sufficient	Quantity Not Sufficient	Site needs to redraw

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D-9	Condition of the specimen renders the Contractor unable to complete specimen processing. Visual cloudiness, greenish color, visible sedimentation, and spillage during transshipment are examples of contamination. Gross leakage pertains to specimens leaked in transit, where the integrity of the specimens, condition of the specimen labels, or safety hazards for personnel unpacking the shipment make test processing inappropriate.	Reject	Individual Specimen Contamination or Gross Leakage	Individual Specimen Contamination or Gross Leakage	Site needs to redraw